

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hughes Marchand et al.	Examiner:	Matthew J. Song
Serial No.:	09/922,122	Group Art:	1765
Filing Date:	August 3, 2001	Docket:	G&C 30794.79-US-U1
Title:	METHOD OF CONTROLLING STRESS IN GALLIUM NITRIDE FILMS DEPOSITED ON SUBSTRATES		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on January 13, 2004.

By: 

Name: Bradley K. Lord

REQUEST FOR REFUND UNDER 37 C.F.R. §1.26

MAIL STOP 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

On November 18, 2003, a Petition for Extension of Time under 37 C.F.R. 1.136 for 1 month was fax-filed to the U.S. Patent and Trademark Office for the instant patent application, requesting a charge of the Extension Fee in the amount of \$55.00 to Deposit Account No. 50-0494. In addition, an Information Disclosure Statement was also fax-filed, requesting a charge of the I.D.S. filing fee of \$180.00 to Deposit Account No. 50-0494.

On November 26, 2003, \$55.00 was charged under Fee Code 2251, for the Extension Fee, to Deposit Account No. 50-9494 **AND** \$130.00 was erroneously charged under Fee Code 1460 (Petitions to the Commissioner, unless otherwise specified) to Deposit Account No. 50-0494. A copy of the November 2003 Deposit Account 50-0494 Statement is enclosed.

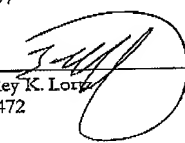
In view of the foregoing circumstances, it is respectfully requested that the U.S. Patent and Trademark Office credit a refund of \$130.00 to Deposit Account No. 50-0494 of Gates & Cooper LLP, for the error. A duplicate copy of this communication is enclosed.

Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: January 13, 2004

By: 
Name: Bradley K. Long
Reg. No. 45,472

Gates & Cooper LLP

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045

FAX TRANSMISSION TO USPTO

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TO: Director of the U.S. Patent and
Trademark Office
MAIL STOP 16
Patent Examining Corps
Facsimile Center
Alexandria, VA 22313-1450

FROM: Bradley K. Lortz

OUR REF.: G&C 30794.79-US-U1
TELEPHONE: (310) 642-4149

Total pages, including cover letter: 14

PTO FAX NUMBER: 703/872-9306

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at
(310) 641-8798.

Title of Document Transmitted:	REQUEST FOR REFUND UNDER 37 C.F.R. 1.26 (in duplicate) with copy of November 2003 Deposit Account Statement, and Copies of November 18, 2003: Transmittal Sheet, Petition for Extension of Time, and Information Disclosure Statement under 37 C.F.R. 1.97(d).
Applicant:	Hugues Marchand et al.
Serial No.:	09/922,122
Filed:	August 3, 2001
Group Art Unit:	1765
Our Ref. No.:	G&C 30794.79-US-U1

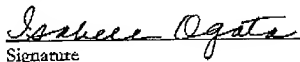
Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP

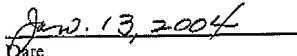
By: 

Name: Bradley K. Lortz

Reg. No.: 45,472

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.


Signature


Date

BKL/io

G&C 30794.79-US-U1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hughes Marchand et al.	Examiner:	Mathew J. Song
Serial No.:	09/922,122	Group Art Unit:	1765
Filed:	August 3, 2001	Docket:	G&C 30794.79-US-U1
Title:	METHOD OF CONTROLLING STRESS IN GALLIUM NITRIDE FILMS DEPOSITED ON SUBSTRATES		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on January 13, 2004.

By: 

Name: Bradley K. Lortz

MAIL STOP 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- ☒ Request for Refund under 37 C.F.R. 1.26 (in duplicate) with November 2003 Deposit Account Statement.
- ☒ Copy: Transmittal sheet of November 18, 2003.
- ☒ Copy: Petition for Extension of Time of November 18, 2003.
- ☒ Copy: Information Disclosure Statement under 37 C.F.R. 1.97(d) of November 18, 2003.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge all requisite fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462**GATES & COOPER LLP**

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: 

Name: Bradley K. Lortz

Reg. No.: 45,472

BKL/fo

G&C 30794.79-US-U1



United States
Patent and
Trademark Office



Deposit Account Statement

Requested Statement Month:

November 2003

Deposit Account Number:

500494

Name:

GATES & COOPER LLP

Attention:

Address:

6701 CENTER DRIVE WEST

City:

LOS ANGELES

State:

CA

Zip:

90045

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/05	153	10623946	G&C 30566.306-US-01	1051	\$130.00	\$28,131.00
11/06	1	09290630	119.1US01	1251	\$110.00	\$28,021.00
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11/17 105	10704999	G&C 30074.51-US-U1	8021	\$40.00	\$14,528.00
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11/18 48	PCT/US03/35809	30448112WO01	1702	\$476.00	\$13,362.00
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11/26 497	10478268	30435124USWO	2614	\$43.00	\$26,818.00

START
BALANCE

\$28,261.00

SUM OF
CHARGES

\$19,443.00

SUM OF END
REPLENISH BALANCE

\$18,000.00 \$26,818.00

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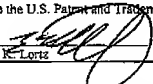
Due Date: November 18, 2003

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Applicant: Hugues Marchand et al. Examiner: Matthew J. Song
Serial No.: 09/922,122 Group Art Unit: 1765
Filed: August 3, 2001 Docket: G&C 30794.79-US-UI
Title: METHOD OF CONTROLLING STRESS IN GALLIUM NITRIDE FILMS DEPOSITED ON SUBSTRATES

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on November 18, 2003.

By: 
Name: Bradley K. Lortz

MAIL STOP AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- ☒ Amendment Under 37 C.F.R. 1.116.
- ☒ Petition for Extension of Time under 37 C.F.R. 1.136 for 1 month.
- ☒ Charge the Extension Fee in the amount of \$55.00 to the Deposit Account.
- ☒ Supplemental Information Disclosure Statement and Form PTO-1449.
- ☒ Cited Reference(s).
- ☒ Charge the fee in the amount of \$180.00 for the Information Disclosure Statement to the Deposit Account.

CLAIMS PRESENT

Claims Remaining:	Highest Number Previously Paid For:	Number Extra		Rate		Fee
Total Claims						
19	39	0	x	\$9.00	=	\$0.00
Independent Claims						
1	3	0	x	\$43.00	=	\$0.00
MULTIPLE DEPENDENT CLAIM FEE						\$0.00
TOTAL FILING FEE						\$0.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462

GATES & COOPER LLP

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: 

Name: Bradley K. Lortz
Reg. No.: 45,472
BKL/amb

G&C 30794.79-US-UI